

Proxy Voting Record

Next Edge Biotech and Life Sciences Opportunities Fund

For the period of July 1, 2023 to June 30, 2024



NEXTEGE
CAPITAL

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Proxy Voting Record

Proxy Voting Report: Q3 2023

Next Edge Biotech and Life Sciences Opportunities Fund

For the period of July 1, 2023 to September 30, 2023

Vote Summary

MEDICENNA THERAPEUTICS CORP.

Security	58490H107	Meeting Type	Annual and Special Meeting
Ticker Symbol	MDNA	Meeting Date	28-Sep-2023
ISIN	CA58490H1073	Agenda	935919742 - Management
Record Date	09-Aug-2023	Holding Recon Date	09-Aug-2023
City / Country	/ Canada	Vote Deadline	25-Sep-2023 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1A	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1B	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1C	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1D	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1E	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3	Approve Option Grants	Management	For	For	For	None	None
4	Approve Reverse Stock Split	Management	For	For	For	None	None
5	Miscellaneous Corporate Actions	Management	For	For	For	None	None

Proxy Voting Record

Proxy Voting Report: Q4 2023

Next Edge Biotech and Life Sciences Opportunities Fund

For the period of October 1, 2023 to December 31, 2023

Vote Summary

BIOVIE INC.

Security	09074F207	Meeting Type	Annual
Ticker Symbol	BIVI	Meeting Date	08-Nov-2023
ISIN	US09074F2074	Agenda	935937194 - Management
Record Date	02-Oct-2023	Holding Recon Date	02-Oct-2023
City / Country	/ United States	Vote Deadline	07-Nov-2023 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1	Jim Lang	For	For	For	None	None
	2	Cuong Do	For	For	For	None	None
	3	Michael Sherman	For	For	For	None	None
	4	Richard J. Berman	For	For	For	None	None
	5	Steve Gorlin	For	For	For	None	None
	6	Robert Hariri, M.D. PhD	For	For	For	None	None
	7	Sigmund Rogich	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	Transact Other Business	Management	For	For	For	None	None

Vote Summary

SYNAPTOGENIX, INC.

Security	87167T201	Meeting Type	Annual
Ticker Symbol	SNPX	Meeting Date	20-Dec-2023
ISIN	US87167T2015	Agenda	935953910 - Management
Record Date	09-Nov-2023	Holding Recon Date	09-Nov-2023
City / Country	/ United States	Vote Deadline	19-Dec-2023 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1 Alan Tuchman, M.D.		For	For	For	None	None
	2 Daniel L. Alkon, M.D.		For	For	For	None	None
2.	Approve Reverse Stock Split	Management	For	For	For	None	None
3.	Amend Stock Compensation Plan	Management	For	For	For	None	None
4.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

Vote Summary

RENOVORX, INC

Security	75989R107	Meeting Type	Special
Ticker Symbol	RNXT	Meeting Date	15-Dec-2023
ISIN	US75989R1077	Agenda	935962779 - Management
Record Date	21-Nov-2023	Holding Recon Date	21-Nov-2023
City / Country	/ United States	Vote Deadline	14-Dec-2023 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Stock Issuance	Management	For	For	For	None	None
2.	Approve Motion to Adjourn Meeting	Management	For	For	For	None	None

Proxy Voting Record

Proxy Voting Report: Q1 2024

Next Edge Biotech and Life Sciences Opportunities Fund

For the period of January 1, 2024 to March 31, 2024

Vote Summary

MICROBIX BIOSYSTEMS INC.

Security	59501P104	Meeting Type	Annual and Special Meeting
Ticker Symbol	MBXBF	Meeting Date	27-Mar-2024
ISIN	CA59501P1045	Agenda	935983482 - Management
Record Date	06-Feb-2024	Holding Recon Date	06-Feb-2024
City / Country	/ Canada	Vote Deadline	22-Mar-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Election of Directors (Majority Voting)	Management					
	1	Peter M. Blecher	Withheld	For	Against	None	None
	2	Mark A. Cochran	For	For	For	None	None
	3	V. C. Embro-Pantalony	For	For	For	None	None
	4	Joseph D. Renner	For	For	For	None	None
	5	Martin Marino	Withheld	For	Against	None	None
	6	Cameron Groome	For	For	For	None	None
	7	Jennifer Stewart	Withheld	For	Against	None	None
2	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3	Adopt Stock Option Plan	Management	For	For	For	None	None

Vote Summary

INARI MEDICAL, INC.

Security	45332Y109	Meeting Type	Annual
Ticker Symbol	NARI	Meeting Date	24-Apr-2024
ISIN	US45332Y1091	Agenda	935992948 - Management
Record Date	28-Feb-2024	Holding Recon Date	28-Feb-2024
City / Country	/ United States	Vote Deadline	23-Apr-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.3	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.4	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None

Vote Summary

VERONA PHARMA PLC

Security	925050106	Meeting Type	Annual
Ticker Symbol	VRNA	Meeting Date	26-Apr-2024
ISIN	US9250501064	Agenda	936012284 - Management
Record Date	13-Mar-2024	Holding Recon Date	13-Mar-2024
City / Country	/ United States	Vote Deadline	19-Apr-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
O1	Election of Directors (Full Slate)	Management	For	For	For	None	None
O2	Election of Directors (Full Slate)	Management	For	For	For	None	None
O3	Election of Directors (Full Slate)	Management	For	For	For	None	None
O4	Election of Directors (Full Slate)	Management	For	For	For	None	None
O5	Election of Directors (Full Slate)	Management	For	For	For	None	None
O6	Receive Directors' Report	Management	For	For	For	None	None
O7	Adopt Accounts for Past Year	Management	For	For	For	None	None
O8	Miscellaneous Corporate Actions	Management	For	For	For	None	None
O9	Approve Charter Amendment	Management	For	For	For	None	None
O10	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
O11	Approve Remuneration of Directors and Auditors	Management	For	For	For	None	None
O12	14A Executive Compensation	Management	For	For	For	None	None
O13	Miscellaneous Corporate Actions	Management	For	For	For	None	None
S14	Allot Securities	Management	For	For	For	None	None

Vote Summary

SERNOVA CORP.

Security	81732W104	Meeting Type	Annual
Ticker Symbol	SEOVF	Meeting Date	30-Apr-2024
ISIN	CA81732W1041	Agenda	936014353 - Management
Record Date	15-Mar-2024	Holding Recon Date	15-Mar-2024
City / Country	/ Canada	Vote Deadline	25-Apr-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
2A	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2B	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2C	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2D	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2E	Election of Directors (Majority Voting)	Management	For	For	For	None	None
3	Amend Incentive Stock Option Plan	Management	For	For	For	None	None

Vote Summary

CLEARPOINT NEURO, INC.

Security	18507C103	Meeting Type	Annual
Ticker Symbol	CLPT	Meeting Date	15-May-2024
ISIN	US18507C1036	Agenda	936009326 - Management
Record Date	18-Mar-2024	Holding Recon Date	18-Mar-2024
City / Country	/ United States	Vote Deadline	14-May-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1	Joseph M. Burnett	For	For	For	None	None
	2	Lynnette C. Fallon	For	For	For	None	None
	3	R. John Fletcher	For	For	For	None	None
	4	Pascal E.R. Girin	For	For	For	None	None
	5	B. Kristine Johnson	For	For	For	None	None
	6	Matthew B. Klein	For	For	For	None	None
	7	Linda M. Liau	For	For	For	None	None
	8	Timothy T. Richards	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None
4.	Amend Stock Compensation Plan	Management	For	For	For	None	None

Vote Summary

PERSONALIS, INC.

Security	71535D106	Meeting Type	Annual
Ticker Symbol	PSNL	Meeting Date	17-May-2024
ISIN	US71535D1063	Agenda	936020611 - Management
Record Date	20-Mar-2024	Holding Recon Date	20-Mar-2024
City / Country	/ United States	Vote Deadline	16-May-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.3	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None

Vote Summary

CYTOKINETICS, INCORPORATED

Security	23282W605	Meeting Type	Annual
Ticker Symbol	CYTK	Meeting Date	15-May-2024
ISIN	US23282W6057	Agenda	936043366 - Management
Record Date	26-Mar-2024	Holding Recon Date	26-Mar-2024
City / Country	/ United States	Vote Deadline	14-May-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1a.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1b.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Amend Employee Stock Purchase Plan	Management	For	For	For	None	None
3.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
4.	14A Executive Compensation	Management	For	For	For	None	None

Vote Summary

RAPID MICRO BIOSYSTEMS, INC.

Security	75340L104	Meeting Type	Annual
Ticker Symbol	RPID	Meeting Date	23-May-2024
ISIN	US75340L1044	Agenda	936026613 - Management
Record Date	28-Mar-2024	Holding Recon Date	28-Mar-2024
City / Country	/ United States	Vote Deadline	22-May-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1 Kirk D. Malloy, Ph.D.		For	For	For	None	None
	2 Melinda Litherland		For	For	For	None	None
	3 Robert Spignesi		For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	Approve Article Amendments	Management	For	For	For	None	None

Vote Summary

DELCATH SYSTEMS, INC.

Security	24661P807	Meeting Type	Annual
Ticker Symbol	DCTH	Meeting Date	23-May-2024
ISIN	US24661P8077	Agenda	936028150 - Management
Record Date	28-Mar-2024	Holding Recon Date	28-Mar-2024
City / Country	/ United States	Vote Deadline	22-May-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Adopt Omnibus Stock Option Plan	Management	For	For	For	None	None
3.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
4.	14A Executive Compensation	Management	For	For	For	None	None

Vote Summary

PHATHOM PHARMACEUTICALS, INC.

Security	71722W107	Meeting Type	Annual
Ticker Symbol	PHAT	Meeting Date	23-May-2024
ISIN	US71722W1071	Agenda	936032022 - Management
Record Date	28-Mar-2024	Holding Recon Date	28-Mar-2024
City / Country	/ United States	Vote Deadline	22-May-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1a.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1b.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1c.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

Proxy Voting Record

Proxy Voting Report: Q2 2024

Next Edge Biotech and Life Sciences Opportunities Fund

For the period of April 1, 2024 to June 30, 2024

Vote Summary

CLENE INC.

Security	185634102	Meeting Type	Annual
Ticker Symbol	CLNN	Meeting Date	29-May-2024
ISIN	US1856341029	Agenda	936030129 - Management
Record Date	01-Apr-2024	Holding Recon Date	01-Apr-2024
City / Country	/ United States	Vote Deadline	28-May-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1 Jonathon T. Gay		For	For	For	None	None
	2 Vallerie V. M. MD		For	For	For	None	None
	3 Reed N. Wilcox		For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None
4.	14A Executive Compensation Vote Frequency	Management	1 Year	1 Year	For	None	None
5.	Authorize Common Stock Increase	Management	For	For	For	None	None
6.	Amend Stock Option Plan	Management	For	For	For	None	None
7.	Approve Reverse Stock Split	Management	For	For	For	None	None
8.	Approve Motion to Adjourn Meeting	Management	For	For	For	None	None

Vote Summary

CRISPR THERAPEUTICS AG

Security	H17182108	Meeting Type	Annual
Ticker Symbol	CRSP	Meeting Date	30-May-2024
ISIN	CH0334081137	Agenda	936042946 - Management
Record Date	08-Apr-2024	Holding Recon Date	08-Apr-2024
City / Country	/ Switzerland	Vote Deadline	28-May-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Receive Consolidated Financial Statements	Management	For	For	For	None	None
2.	Adopt Accounts for Past Year	Management	For	For	For	None	None
3.	Approve Financial Statements, Allocation of Income, and Discharge Directors	Management	For	For	For	None	None
4a.	Election of Directors (Full Slate)	Management	For	For	For	None	None
4b.	Election of Directors (Full Slate)	Management	For	For	For	None	None
4c.	Election of Directors (Full Slate)	Management	For	For	For	None	None
4d.	Election of Directors (Full Slate)	Management	For	For	For	None	None
4e.	Election of Directors (Full Slate)	Management	For	For	For	None	None
4f.	Election of Directors (Full Slate)	Management	For	For	For	None	None
4g.	Election of Directors (Full Slate)	Management	For	For	For	None	None
4h.	Election of Directors (Full Slate)	Management	For	For	For	None	None
4i.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
4j.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
5a.	Election of Directors (Full Slate)	Management	For	For	For	None	None
5b.	Election of Directors (Full Slate)	Management	For	For	For	None	None
5c.	Election of Directors (Full Slate)	Management	For	For	For	None	None
5d.	Election of Directors (Full Slate)	Management	For	For	For	None	None
6a.	Miscellaneous Corporate Actions	Management	For	For	For	None	None
6b.	Miscellaneous Corporate Actions	Management	For	For	For	None	None
6c.	Miscellaneous Corporate Actions	Management	For	For	For	None	None
6d.	Miscellaneous Corporate Actions	Management	For	For	For	None	None
6e.	Miscellaneous Corporate Actions	Management	For	For	For	None	None
6f.	Miscellaneous Corporate Actions	Management	For	For	For	None	None
7.	14A Executive Compensation	Management	For	For	For	None	None
8.	Increase Share Capital	Management	For	For	For	None	None
9.	Amend Stock Compensation Plan	Management	For	For	For	None	None
10.	Approve Charter Amendment	Management	For	For	For	None	None
11.	Increase Share Capital	Management	For	For	For	None	None
12.	Miscellaneous Corporate Actions	Management	For	For	For	None	None

Vote Summary

13.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
14.	Transact Other Business	Management	For	For	For	None	None

Vote Summary

SANGAMO THERAPEUTICS, INC.

Security	800677106	Meeting Type	Annual
Ticker Symbol	SGMO	Meeting Date	04-Jun-2024
ISIN	US8006771062	Agenda	936042249 - Management
Record Date	08-Apr-2024	Holding Recon Date	08-Apr-2024
City / Country	/ United States	Vote Deadline	03-Jun-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.3	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.4	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.5	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.6	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.7	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.8	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.9	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	14A Executive Compensation	Management	For	For	For	None	None
3.	Stock Issuance	Management	For	For	For	None	None
4.	Authorize Common Stock Increase	Management	For	For	For	None	None
5.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

Vote Summary

ACUMEN PHARMACEUTICALS, INC.

Security	00509G209	Meeting Type	Annual
Ticker Symbol	ABOS	Meeting Date	04-Jun-2024
ISIN	US00509G2093	Agenda	936052416 - Management
Record Date	08-Apr-2024	Holding Recon Date	08-Apr-2024
City / Country	/ United States	Vote Deadline	03-Jun-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

Vote Summary

NEKTAR THERAPEUTICS

Security	640268108	Meeting Type	Annual
Ticker Symbol	NKTR	Meeting Date	05-Jun-2024
ISIN	US6402681083	Agenda	936054357 - Management
Record Date	08-Apr-2024	Holding Recon Date	08-Apr-2024
City / Country	/ United States	Vote Deadline	04-Jun-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1a.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1b.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1c.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Amend Stock Compensation Plan	Management	For	For	For	None	None
3.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
4.	14A Executive Compensation	Management	For	For	For	None	None

Vote Summary

MEIRAGTX HOLDINGS PLC

Security	G59665102	Meeting Type	Annual
Ticker Symbol	MGTX	Meeting Date	06-Jun-2024
ISIN	KYG596651029	Agenda	936050854 - Management
Record Date	09-Apr-2024	Holding Recon Date	09-Apr-2024
City / Country	/ United States	Vote Deadline	05-Jun-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1 Alexandria Forbes, Ph.D		For	For	For	None	None
	2 Keith R. Harris, Ph.D.		For	For	For	None	None
	3 Lord Mendoza		For	For	For	None	None
2.	14A Executive Compensation	Management	For	For	For	None	None
3.	14A Executive Compensation Vote Frequency	Management	3 Years	3 Years	For	None	None
4.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

Vote Summary

EXSCIENTIA PLC

Security	30223G102	Meeting Type	Annual
Ticker Symbol	EXAI	Meeting Date	15-May-2024
ISIN	US30223G1022	Agenda	936058381 - Management
Record Date	10-Apr-2024	Holding Recon Date	10-Apr-2024
City / Country	/ United States	Vote Deadline	08-May-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Adopt Accounts for Past Year	Management	For	For	For	None	None
2.	Approve Remuneration of Directors and Auditors	Management	For	For	For	None	None
3.	Election of Directors (Full Slate)	Management	For	For	For	None	None
4.	Election of Directors (Full Slate)	Management	For	For	For	None	None
5.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
6.	Approve Remuneration of Directors and Auditors	Management	For	For	For	None	None

Vote Summary

COGNITION THERAPEUTICS, INC.

Security	19243B102	Meeting Type	Annual
Ticker Symbol	CGTX	Meeting Date	07-Jun-2024
ISIN	US19243B1026	Agenda	936050296 - Management
Record Date	15-Apr-2024	Holding Recon Date	15-Apr-2024
City / Country	/ United States	Vote Deadline	06-Jun-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1	Jack A. Khattar	For	For	For	None	None
	2	Brett P. Monia, Ph.D.	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

Vote Summary

10X GENOMICS, INC.

Security	88025U109	Meeting Type	Annual
Ticker Symbol	TXG	Meeting Date	11-Jun-2024
ISIN	US88025U1097	Agenda	936064663 - Management
Record Date	15-Apr-2024	Holding Recon Date	15-Apr-2024
City / Country	/ United States	Vote Deadline	10-Jun-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	Miscellaneous Corporate Actions	Management	For	For	For	None	None
4.	14A Executive Compensation	Management	For	For	For	None	None

Vote Summary

ALECTOR, INC.

Security	014442107	Meeting Type	Annual
Ticker Symbol	ALEC	Meeting Date	12-Jun-2024
ISIN	US0144421072	Agenda	936052430 - Management
Record Date	16-Apr-2024	Holding Recon Date	16-Apr-2024
City / Country	/ United States	Vote Deadline	11-Jun-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1	Louis J. Lavigne, Jr.	For	For	For	None	None
	2	Richard Scheller, Ph.D.	For	For	For	None	None
	3	Mark Altmeyer	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None

Vote Summary

TG THERAPEUTICS, INC.

Security	88322Q108	Meeting Type	Annual
Ticker Symbol	TGTX	Meeting Date	14-Jun-2024
ISIN	US88322Q1085	Agenda	936060336 - Management
Record Date	17-Apr-2024	Holding Recon Date	17-Apr-2024
City / Country	/ United States	Vote Deadline	13-Jun-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1	Laurence Charney	For	For	For	None	None
	2	Yann Echelard	For	For	For	None	None
	3	Kenneth Hoberman	For	For	For	None	None
	4	Daniel Hume	For	For	For	None	None
	5	Sagar Lonial, MD	For	For	For	None	None
	6	Michael S. Weiss	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None
4.	Authorize Common Stock Increase	Management	For	For	For	None	None
5.	Amend Incentive Stock Option Plan	Management	For	For	For	None	None

Vote Summary

CORVUS PHARMACEUTICALS, INC.

Security	221015100	Meeting Type	Annual
Ticker Symbol	CRVS	Meeting Date	13-Jun-2024
ISIN	US2210151005	Agenda	936057808 - Management
Record Date	18-Apr-2024	Holding Recon Date	18-Apr-2024
City / Country	/ United States	Vote Deadline	12-Jun-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None

Vote Summary

VICARIOUS SURGICAL INC.

Security	92561V109	Meeting Type	Annual
Ticker Symbol	RBOT	Meeting Date	10-Jun-2024
ISIN	US92561V1098	Agenda	936060348 - Management
Record Date	19-Apr-2024	Holding Recon Date	19-Apr-2024
City / Country	/ United States	Vote Deadline	07-Jun-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1 Adam Sachs		For	For	For	None	None
	2 Sammy Khalifa		For	For	For	None	None
	3 V. Carr-Brendel, Ph.D.		For	For	For	None	None
	4 Ric Fulop		For	For	For	None	None
	5 David Ho, M.D.		For	For	For	None	None
	6 Beverly Huss		For	For	For	None	None
	7 Donald Tang		For	For	For	None	None
2.	Amend Incentive Stock Option Plan	Management	For	For	For	None	None
3.	Approve Reverse Stock Split	Management	For	For	For	None	None
4.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

Vote Summary

IMMIX BIOPHARMA, INC.

Security	45258H106	Meeting Type	Annual
Ticker Symbol	IMMX	Meeting Date	11-Jun-2024
ISIN	US45258H1068	Agenda	936066403 - Management
Record Date	22-Apr-2024	Holding Recon Date	22-Apr-2024
City / Country	/ United States	Vote Deadline	10-Jun-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.3	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.4	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.5	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.6	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.7	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.8	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	Amend Stock Compensation Plan	Management	For	For	For	None	None

Vote Summary

SCHRODINGER, INC.

Security	80810D103	Meeting Type	Annual
Ticker Symbol	SDGR	Meeting Date	18-Jun-2024
ISIN	US80810D1037	Agenda	936060968 - Management
Record Date	22-Apr-2024	Holding Recon Date	22-Apr-2024
City / Country	/ United States	Vote Deadline	17-Jun-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1a.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1b.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1c.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	14A Executive Compensation	Management	For	For	For	None	None
3.	Amend Incentive Stock Option Plan	Management	For	For	For	None	None
4.	Amend Employee Stock Purchase Plan	Management	For	For	For	None	None
5.	Approve Article Amendments	Management	For	For	For	None	None
6.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

Vote Summary

PACIFIC BIOSCIENCES OF CALIFORNIA, INC.

Security	69404D108	Meeting Type	Annual
Ticker Symbol	PACB	Meeting Date	18-Jun-2024
ISIN	US69404D1081	Agenda	936065007 - Management
Record Date	22-Apr-2024	Holding Recon Date	22-Apr-2024
City / Country	/ United States	Vote Deadline	17-Jun-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1a.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1b.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1c.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1d.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None
4.	Amend Stock Compensation Plan	Management	For	For	For	None	None
5.	Declassify Board	Management	For	For	For	None	None
6.	Miscellaneous Corporate Actions	Management	For	For	For	None	None
7.	Approve Motion to Adjourn Meeting	Management	For	For	For	None	None

Vote Summary

NEUROBO PHARMACEUTICALS, INC.

Security	64132R404	Meeting Type	Annual
Ticker Symbol	NRBO	Meeting Date	07-Jun-2024
ISIN	US64132R4048	Agenda	936077228 - Management
Record Date	24-Apr-2024	Holding Recon Date	24-Apr-2024
City / Country	/ United States	Vote Deadline	06-Jun-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1 Jason L. Groves		For	For	For	None	None
	2 Hyung Heon Kim		For	For	For	None	None
	3 Andrew Koven		For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	Amend Incentive Stock Option Plan	Management	For	For	For	None	None

Vote Summary

SPECTRAL MEDICAL INC.

Security	847577103	Meeting Type	Annual
Ticker Symbol	EDTXF	Meeting Date	07-Jun-2024
ISIN	CA8475771033	Agenda	936083346 - Management
Record Date	24-Apr-2024	Holding Recon Date	24-Apr-2024
City / Country	/ Canada	Vote Deadline	04-Jun-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Election of Directors (Majority Voting)	Management					
	1	David Feigal Jr.	For	For	For	None	None
	2	Jan D'Alvise	For	For	For	None	None
	3	Jun Hayakawa	For	For	For	None	None
	4	Cristiano Franzì	For	For	For	None	None
	5	William Stevens	For	For	For	None	None
	6	Paul Walker	For	For	For	None	None
	7	Chris Seto	For	For	For	None	None
2	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

Vote Summary

CELLECTAR BIOSCIENCES INC

Security	15117F807	Meeting Type	Annual
Ticker Symbol	CLRB	Meeting Date	14-Jun-2024
ISIN	US15117F8077	Agenda	936066073 - Management
Record Date	24-Apr-2024	Holding Recon Date	24-Apr-2024
City / Country	/ United States	Vote Deadline	13-Jun-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Amend Stock Compensation Plan	Management	For	For	For	None	None
3.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
4.	14A Executive Compensation	Management	For	For	For	None	None

Vote Summary

UNICYCIVE THERAPEUTICS, INC.

Security	90466Y103	Meeting Type	Annual
Ticker Symbol	UNCY	Meeting Date	20-Jun-2024
ISIN	US90466Y1038	Agenda	936071466 - Management
Record Date	25-Apr-2024	Holding Recon Date	25-Apr-2024
City / Country	/ United States	Vote Deadline	18-Jun-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.3	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.4	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	Miscellaneous Corporate Actions	Management	For	For	For	None	None
4.	Authorize Common Stock Increase	Management	For	For	For	None	None
5.	Adopt Omnibus Stock Option Plan	Management	For	For	For	None	None

Vote Summary

FENNEC PHARMACEUTICALS INC.

Security	31447P100	Meeting Type	Annual
Ticker Symbol	FENC	Meeting Date	25-Jun-2024
ISIN	CA31447P1009	Agenda	936089449 - Management
Record Date	29-Apr-2024	Holding Recon Date	29-Apr-2024
City / Country	/ United States	Vote Deadline	24-Jun-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1a.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1b.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1c.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1d.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1e.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None

Vote Summary

PROTALIX BIOTHERAPEUTICS, INC.

Security	74365A309	Meeting Type	Annual
Ticker Symbol	PLX	Meeting Date	27-Jun-2024
ISIN	US74365A3095	Agenda	936078890 - Management
Record Date	29-Apr-2024	Holding Recon Date	29-Apr-2024
City / Country	/ Israel	Vote Deadline	26-Jun-2024 11:59 PM ET
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1	Eliot R. Forster, Ph.D.	For	For	For	None	None
	2	Dror Bashan	For	For	For	None	None
	3	Amos Bar Shalev	For	For	For	None	None
	4	Shmuel Muli Ben Zvi PhD	For	For	For	None	None
	5	Pol F. Boudes, M.D.	For	For	For	None	None
	6	Gwen A. Melincoff	For	For	For	None	None
	7	Aharon Schwartz, Ph.D.	For	For	For	None	None
2.	14A Executive Compensation	Management	For	For	For	None	None
3.	Amend Stock Compensation Plan	Management	For	For	For	None	None
4.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None